

Academic Research Integrity: Practical applications in the lab

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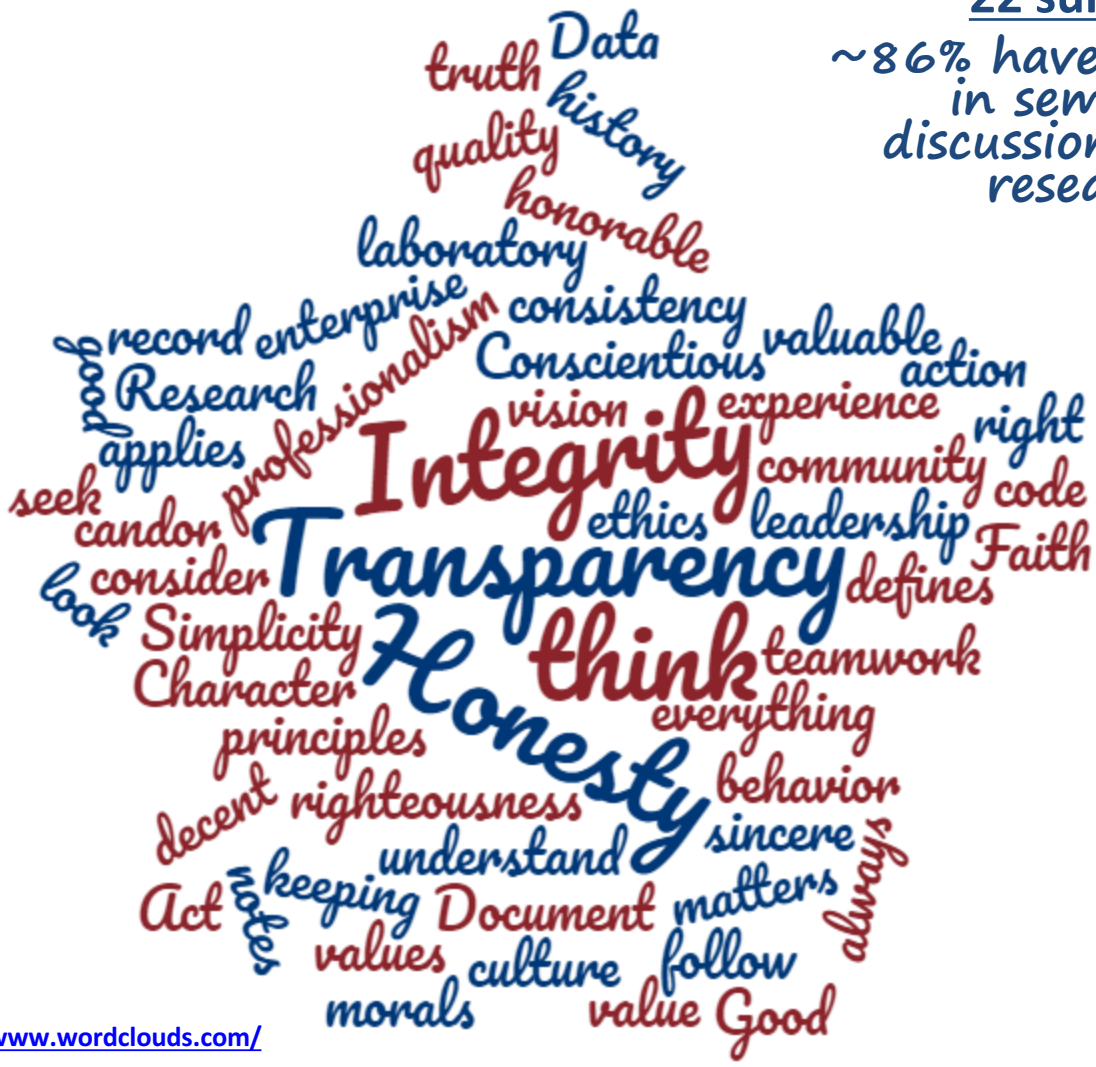
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What does the phrase “research integrity” mean to us?

22 survey responses

~86% have participated
in seminars and/or
discussions concerning
research integrity



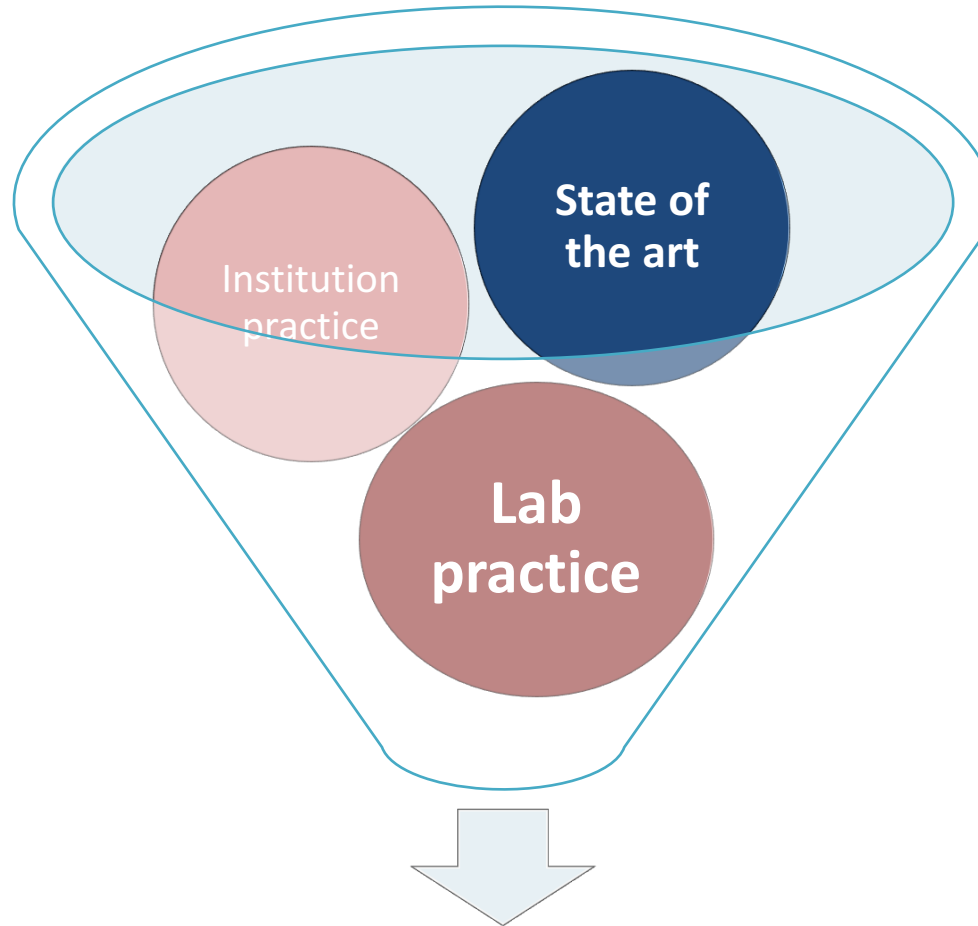
<https://www.wordclouds.com/>

What are we doing everyday in the
lab that helps us “do it right”?



What helps us “do it right”?

Research and Data Integrity



Our approach to research and data integrity



What helps us “do it right”?

Research and Data Integrity

Think “field”

- ❖ *Federal grants: understand expectations of maintaining and sharing data*
- ❖ *Publishing data: what are the norms in the field(s)?*

Think “organizational”

- ❖ *Does my home institution have expectations around data maintenance?*
- ❖ *What are my resources to meet these expectations?*
- ❖ *How about my local Department or Center?*

Think “local”

- ❖ *What does my lab (lab PI) expect of me?*
- ❖ *System for maintaining raw and analyzed data (local networks)*
- ❖ *System for tracking exact protocols and statistical analysis (notebooks/ELNs)*
- ❖ *Available hardware and software*
- ❖ *Track important biological metadata*



Field Resources

Research and Data Integrity

- Requirements for Open Access and Data Sharing
 - Federal funding [mandates](#)* [sharing!](#) (NIH, [NSF](#))

U.S. Department of Health & Human Services

NIH Public Access Policy

Search

OER Glossary | Contact us

Home Training Policy Details Managing Papers FAQs Special users My NCBI NIHMS

When and How to Comply

- 1 Preparing a manuscript** Address copyright [show me](#)
- 2 Accepted for publication** Post it to PubMed Central and track it in My NCBI [show me](#)
- 3 Reporting to NIH** Include PMCID in citations [show me](#)

Overview:

To advance science and improve human health, NIH makes the peer-reviewed articles it funds publicly available on [PubMed Central](#). The NIH public access policy requires scientists to submit final peer-reviewed journal manuscripts that arise from NIH funds to PubMed Central immediately upon acceptance for publication. [\[more\]](#)

[Show me specific instructions for my publication](#)

Public Access Policy Video Training

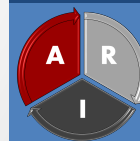
1 NIHMS overview	3 My Bibliography overview
2 My NCBI overview	4 Public Access Compliance

* while NIH Public Access is a global requirement Data Sharing has applicability guidelines

○ NIH Rigor and Reproducibility Initiative

- Consensus of thought leaders on scientific data ([FAQs](#) on Rigor and Transparency)

The screenshot shows the NIH website's "Rigor and Reproducibility" page. At the top, there is a navigation bar with "U.S. Department of Health & Human Services" and the NIH logo with the tagline "National Institutes of Health, Turning Discovery into Health". A search bar and links for "NIH Employee Intranet", "Staff Directory", and "En Español" are also present. Below this is a secondary navigation bar with categories like "Health Information", "Grants & Funding", "News & Events", "Research & Training", "Institutes at NIH", and "About NIH". The main content area features a blue header for "RIGOR AND REPRODUCIBILITY" and a sub-header "Rigor and Reproducibility". A left sidebar lists various resources: Reporting Guidelines, Application Instructions, Training, Funding Opportunities, Meetings and Workshops, Announcements, Publications, and Resources. The main article is titled "Principles and Guidelines for Reporting Preclinical Research" and includes a paragraph about a 2014 workshop, a list of principles (e.g., rigorous statistical analysis, transparency, data sharing), and a list of related links. A footer note states "This page last reviewed on December 12, 2017".



- **Reporting guidelines that may be required/applicable/helpful**

The **EQUATOR** network (Enhancing the QUALity and Transparency Of health Research)

- **ARRIVE** (*Animal Research: Reporting of In Vivo Experiments*)
- **CONSORT** (*Consolidated Standards of Reporting Trials*)
- **PRISMA** (*Preferred Reporting Items for Systematic Reviews and Meta-Analyses*)

- **Data management plans (DMPs)**

- **NISO Primer**
- **DMP Tool**



○ *What guidance do Associations/Societies provide?*

- Society of Neuroscience (Journal of Neuroscience)
 - **Improving Your Science: Sample-size Planning, Pre-Registration, and Reproducible Data Analysis**
 - **Data science resources [Big Data: What you should know]**
 - **Webinar (*membership required*): Data Science Approaches for Neuroscientists**
- AAAS (Science)

○ *What about journals?*

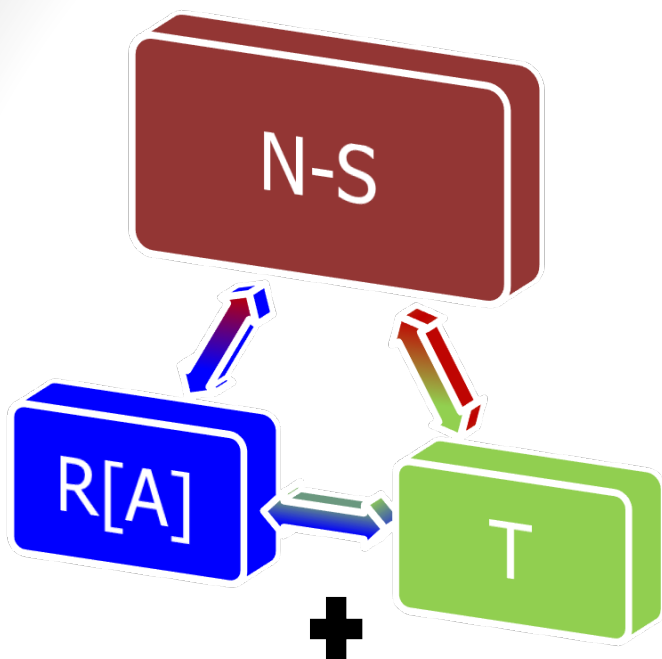
- Nature (Transparency and Reproducibility)
- Neuron (CellPress/Elsevier*)
 - **STAR methods**
 - **Mendeley***
 - ✓ **Importer and Desktop**
 - ✓ **Mendeley Data**

* Elsevier has partially supported [other work](#) independent of ARI Outreach initiatives



- **DMWG (Data Management Working Group)**
 - Links to fantastic resources supporting the Research Data lifecycle
 - [HMS Data Storage](#)
 - ✓ [OMERO](#)
 - [Repositories](#)
 - [ELNs](#)
- **Harvard Medical School Research Computing**
 - Links to IT resources for HMS
 - [Storage and Training](#)
 - [Enterprise Licensed Software](#)
 - [HMS Software Wiki](#)
- **Countway Library**
 - In addition to access to outstanding electronic journals and literature ([Hollis](#))
 - [Research Services](#)
 - [Harvard Guidelines regarding public access and tools](#)
- **Catalyst**
 - Great [research resources](#) (for basic and translational work) and [training opportunities](#)
 - [Biostatistics and Bioinformatics consulting](#)
 - [Programs and Classes available](#)
- **edX**
 - Great free classes from experts on many topics
 - [Principles, Statistical and Computational Tools for Reproducible Science](#)
- **Local Department Resources**
 - e.g., Department of Genetics has a [Computer Facility](#) in the NRB. Windows, Macintosh, and Linux operating systems on a wide variety of hardware. Installation/maintenance of desktop computers and servers; automated backups of servers; email for the Genetics Department; assistance with websites and programming.





RESULTS/RAW DATA

Data Integrity

Biomedical metadata

Lab Data Storage Structure and Lab Notebook (Notebooks and Storage)

- [ELNs](#)
- [Directory Structure](#)
- [File naming conventions](#)
 - [ReadMe files](#)
 - *Protocols (standards and, importantly, adaptation!) – Check out [protocols.io](#)*
 - [Statistical Analysis](#)

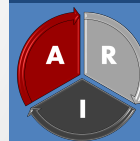
Reagents* [and Animals!]

- [antibodies](#)
- [cell lines](#)
- [reagent batching](#) and lot#s
- *animal work!* ([ARRIVE](#), IACUC, local facility, etc.)
- *biological variables* (e.g., sex as a biological variable [[SABV](#)])

Hardware and Software (Tools)

- *Raw data production!*
- *understand and track specs*
- [HMS core facilities](#) equipment that may have networked data storage on hardware
- *lab equipment (networked or local data storage)*
- *paired software (proprietary or otherwise)*
- *Code? Check out [GitHub](#), [Jupyter](#) and [CodeOcean](#)*

*NIH requires a [reagent validation](#) statement in all grant applications. Their [Resource Chart](#) is a helpful way to think about overall data organization.

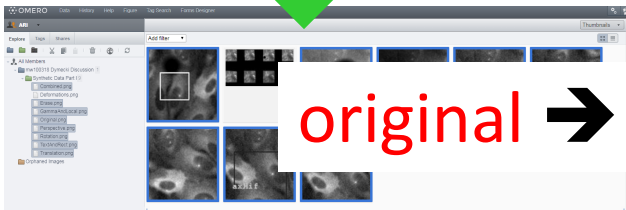
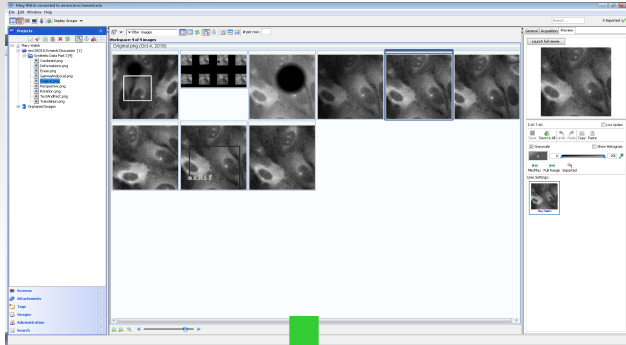


original/analyzed/published data (a "synthetic" * example part I)

ORIGINAL data acquisition - stays that way!

MCW9 Omero
mw100318 Dymecki Discussion/
Synthetic Data Part I

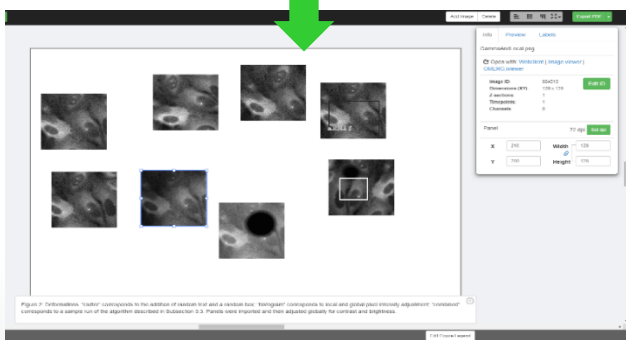
.OIB file(s) "rotate.OIB"
Olympus Fluoview FV1000



original → **analyzed** → **published data**

COPY of original data into mw100318 Dymecki Discussion/Synthetic Data Part I /ANALYSIS

- .tif series created
- naming conventions/identification consistent



ANALYZED data in figure preparation software

- track all modifications/alterations (.jpeg)
- Lab presentation **mw100918.Dymecki discussion.ARI.pptx**
- Data Integrity manuscript *in preparation*

PUBLISHED

published data (t al 2018)

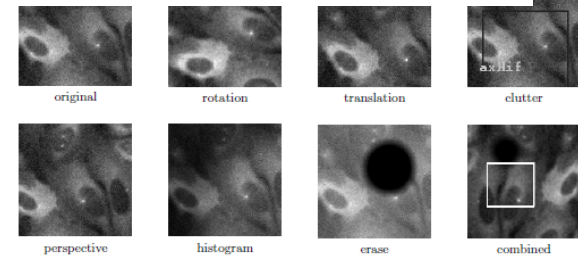


Figure 2: Deformations. "clutter" corresponds to the addition of random text and a random box; "histogram" corresponds to local and global pixel intensity adjustment; "combined" corresponds to a sample run of the algorithm described in Subsection 3.3.



"Figure 2" Data. All modifications to original images (global) reported in Materials and Methods and Legend. When asked to make raw data available for editorial review all raw images (.oib) analyzed files (.tif) and the composite .jpeg figure with and without modification were provided

* "L&A" disclaimer: any resemblance to actual persons, living or dead, or actual events is purely coincidental.



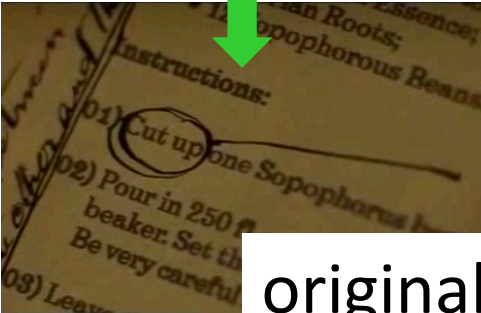


Notebook 6 (MCW MBL KO work: 05.25.18 - 10.11.18)
 pp.22-35
 mw082118-092518
 "Cytokine expression in isolated
 MBL KO vs WT microglia"

Snapshots taken by Mary C. Walsh from:
 Draught of Living Death - Harry Potter and the Half-
 Blood Prince
 YouTube · 7,000+ views · 5/31/2013 · by Ace Goins

Local Resources and Practice

protocols/final protocols
 (a "synthetic"* example part II)



PUBLISHED

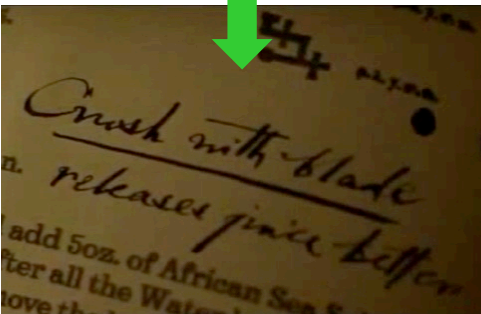
Materials and Methods section (Welsh et al 2018)



original → analyzed → published data

ORIGINAL

Notebook 6: pp.23-24, mw082518
Microglia Methods and Protocols (Springer 2013)
 Microglial Activation: Measurement of
 Cytokines by Flow Cytometry ([Chapter 9](#))



Microglia were isolated and homogenized according to the protocols found in Microglia Methods and Protocols (Springer 2013, Chapter 9) Microglial Activation: Measurement of Cytokines by Flow Cytometry https://link-springer-com.ezp-prod1.hul.harvard.edu/content/pdf/10.1007%2F978-1-62703-520-0_9.pdf with the following notable adaptations:
 Optimal intermittent vortexing of initial isolation of homogenates was determined to be 2x vortex, @15 min and @45 min for not longer than 10 seconds/application during the 1 hr homogenate icing period.

ANALYZED

Notebook 6: pp.24-35, mw082518-mw092518
 Adaptation of Microglia Methods and Protocols (e.g., *intermittent vortexing 1hr on ice= 2x vortex, @15 min and @45 min for not longer than 10seconds/application*)

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ORIGINAL

Dymecki Discussion/ Synthetic Data Part III
/mw082118/Data Plan

“Cytokine expression in isolated
MBL KO vs WT microglia”

- Variables
- Sample sizes
- Statistical tests



ANALYSIS Part I

Dymecki Discussion/Synthetic Data Part III
/mw090518/ANALYSIS

- realize sample size (seeing significant data on early samples)
- add more animals

original → analyzed → published data



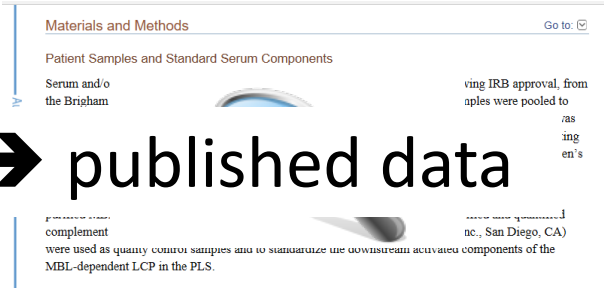
ANALYSIS Part II

Dymecki Discussion/Synthetic Data Part III
/mw092218/ANALYSIS

- the original statistical tests proposed do not provide significance (even with additional animals)
- realize appropriate alternative test available, application results in significance

PUBLISHED

Materials and Methods section (Welsh et al 2018)



For microglia cytokine expression experiments we provide all sample size, data exclusion and replication information as part of a detailed data management plan outlined at the initiation of experimentation and provided as part of the submitted “Life sciences study design”. Any deviations from this plan are clearly detailed with accompanying rationale. Additional statistical assessments on data sets were included to provide a complete profile of hypothesis testing and resulting analysis rationale.

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National Science Foundation: Science Hard

6/05/02 3:00pm • SEE MORE: SCIENCE & TECHNOLOGY ▾



"To be a scientist, you have to learn all this weird stuff, like how many molecules are in a proton," University of Chicago physicist Dr. Erno Heidegger said. "While it is true that I have become an acclaimed physicist and reaped great rewards from my career, one must not lose sight of the fact that these blessings came only after studying all of this completely impossible, egghead stuff for years."

The scientists' assessment of a recent MIT paper on quantum physics.

<https://www.theonion.com/national-science-foundation-science-hard-1819566466>



*"Genius is 2% (1%) inspiration and 98% (99%) perspiration."
-Thomas Edison*

*"Somewhere, something incredible is waiting to be known."
- (Carl Sagan) Sharon Begley*



Mark Gasser @mtgassr · 5 Apr 2015

[#IAmAScientistBecause](#) who wouldn't want to say this regularly?



4 70 84



Thank You!

- ❖ Research and Data Integrity and the responsible conduct of research can have many meanings
- ❖ The steps we take every day, both large and small, can have an exponential impact
- ❖ Building careful data and metadata management into experimental design can speed up analysis, and ease trouble-shooting and replication
- ❖ When plans aren't enough, document changes
- ❖ Revisit the discussions such as the one we are having today (innovate)
- ❖ Share the wealth (disseminate)

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Jessica Pierce

Columbia University Office of Research Compliance and Training

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Michelle Benson, Ph.D.

Disclosures:

Maidstone Consulting Group, LLC



SURVEY FEEDBACK:

Elements (or resources) specific to research integrity, data integrity, transparency, and/or experimental rigor and reproducibility that you wanted to learn more about

- **Electronic lab notebook organization and rigor, reproducibility, and note taking?**
 - Check out slide 10
 - DMWG matrix on [ELN](#) (hyperlinked to the sites where you can get a sense of organization) and guides on [Biomedical Metadata](#)
 - Columbia ReaDI program (see slide 24, and [Good Laboratory Notebook Practices](#))
 - Take a look at [OSF](#) (Open Science Framework) – search for “electronic laboratory notebook” some great examples
- **Statistical tests?**
 - Check out slide 10
 - UCLA idre: [Choosing the Correct Statistical Test in SAS, STATA, SPSS and R](#) and there are other examples out there...
 - Talk to your lab mentor and colleagues
 - Ask a statistician – [Catalyst Biostatistical Consulting](#)
 - Review your software guides (e.g., Stata handbook is actually great guide for applied statistics)
- **GitHub and posting code?**
 - Check out slide 10
 - [GitHub](#), [Jupyter](#) and [CodeOcean](#)
- **Reproducibility of computational research and working with large data?**
 - [Department of Biomedical Informatics](#)
 - [Harvard Chan Bioinformatics Core](#)
 - Big Data to Knowledge ([BD2K](#))
 - [Best Practices for Biomedical Research Data Management](#)
 - [Big Data Science with the BD2K-LINCS \(Library of Integrated Network-based Cellular Signature\) Data coordination and Integration Center](#)
 - Field specific field examples (e.g., check out slide 8 for neuroscience example - [Data science resources \[Big Data: What you should know\]](#))



SURVEY FEEDBACK:

Elements (or resources) specific to research integrity, data integrity, transparency, and/or experimental rigor and reproducibility that you wanted to learn more about

- Research misconduct that would be an accident or through ignorance, not anything deliberate?
 - Honest error is not research misconduct
 - Corrections (and retractions) are an appropriate element of typical scientific discourse
 - If you want to learn more about the standards for misconduct decisions ([42 CFR § 93.104](#))
- Have there been cases of research misconduct that wouldn't be obvious to those of us who have taken courses on research misconduct?
 - Depends on the audience and the case
 - Check out [RetractionWatch](#) to get a sense of examples of possible cases and community discourse around process and outcome
- Statistics for misconduct?
 - [ORI Website](#) – [Case Summaries](#)
- How can we support or encourage our entire lab to evaluate (and possibly update) data management practices?
 - Discussion with your mentor(s) regarding local practice
 - Discussions at lab meetings regarding local practice (invite ARI to come if helpful!)
- At what point is reproducibility considered significant?
 - From day 1. Consider: If you and only you can do a thing once, how does it move the knowledge base forward? And keep in mind: you are your most frequent collaborator! So do yourself a solid and invest the sweat equity sooner rather than later.



Resources - slides

Free Online Word Cloud Generator <https://www.wordclouds.com/>

NIH Public Access Policy <https://publicaccess.nih.gov/>

NIH Data Sharing Policies and Implementation Guidance

<https://grants.nih.gov/policy/sharing.htm>

https://grants.nih.gov/grants/policy/data_sharing/data_sharing_guidance.htm#app

NIH Data Sharing Policies Table

https://www.nlm.nih.gov/NIHbmic/nih_data_sharing_policies.html

NSF Public Access Repository (NSF-PAR)

https://www.nsf.gov/news/special_reports/public_access/about_repository.jsp

NIH Rigor and Reproducibility <https://www.nih.gov/research-training/rigor-reproducibility/principles-guidelines-reporting-preclinical-research>

NIH Rigor and Reproducibility FAQs <https://grants.nih.gov/reproducibility/faqs.htm>

The EQUATOR network <https://www.equator-network.org/>

DMP Tool <https://dmptool.org/>

National Information Standards Organization. Research Data Management: A Primer

<https://www.niso.org/publications/primer-research-data-management>



Resources - slides

Society for Neuroscience <http://www.sfn.org/>

SfN Professional Development (Neuroscience 2017):

Improving Your Science: Sample-size Planning, Pre-Registration, and Reproducible Data Analysis

<https://www.sfn.org/sitecore/content/Home/OMP/Articles/Professional-Development/2018/Improving-Your-Science-Sample-Size-Planning-Pre-Registration-and-Reproducible-Data-Analysis>

SfN Scientific Research: Data Science Resources

<https://www.sfn.org/sitecore/content/Home/OMP/Articles/Scientific-Research/2016/Data-Science-Resources>

AAAS <https://www.aaas.org/>

Nature <https://www.nature.com/authors/policies/reporting.pdf>

CellPress STAR★METHODS <https://www.cell.com/star-authors-guide>

Mendeley Reference Tools

https://www.mendeley.com/reference-management/web-importer#id_1

<https://www.mendeley.com/download-desktop/>

Mendeley Data <https://data.mendeley.com/>

The Data Management Working Group

<https://datamanagement.hms.harvard.edu/hms-data-management-working-group>

HMS Research Computing <https://rc.hms.harvard.edu/>

Harvard Medical School Software Resources (HMS wiki) <https://wiki.med.harvard.edu/Software/>

Countway Library <https://www.countway.harvard.edu/>

HMS Catalyst <https://catalyst.harvard.edu/>

edX <https://www.edx.org/>

Department of Genetics <http://genetics.hms.harvard.edu/>



Resources - slides (con't)

DMWG ELN Matrix

https://docs.google.com/spreadsheets/d/1ar8fgwagOh30E31EAPL-Gorwn_g6XNf81g3VDQnQ_I8/edit#gid=0

DMWG (Best Practices Menu) <https://datamanagement.hms.harvard.edu/>

UCLA idre: What Statistical Test? <https://stats.idre.ucla.edu/other/mult-pkg/whatstat/>

Protocols.io <https://www.protocols.io/>

AntYbuddy <https://www.antybuddy.com/>

International Cell Line Authentication Committee <http://iclac.org/databases/cross-contaminations/>

Global Biological Standards Institute <https://www.gbsi.org/>

Animal Research: Reporting of *In Vivo* Experiments (ARRIVE)

<https://www.nc3rs.org.uk/arrive-guidelines>

HMS Core Facilities <https://corefacilities.hms.harvard.edu/>

GitHub <https://github.com/>

Jupyter <http://jupyter.org/>

Code Ocean <https://codeocean.com/>

Authentication of Key Biological and/or Chemical Resources

<https://grants.nih.gov/grants/guide/notice-files/NOT-OD-17-068.html>

The Book Designer

<https://www.thebookdesigner.com/2010/01/6-copyright-page-disclaimers-and-giving-credit/>

Nature Publishing Image Integrity and Standards <https://www.nature.com/authors/policies/image.html>

Microglia Methods and Protocols (Springer 2013)

<https://experiments-springernature-com.ezp-prod1.hul.harvard.edu/springer-protocols-closure>



Resources - slides (con't)

Mouse pic

http://4.bp.blogspot.com/-k9rfuvH0IFI/Tqq6LtQxfJI/AAAAAAAAALQ/6duHJ4osYVQ/s1600/Mus_Musculus_Unibe.jpeg

Stats pic

<http://www.aph.gov.au/~media/05%20About%20Parliament/54%20Parliamentary%20Depts/544%20Parliamentary%20Library/Flagpost/Statistics/125806739.jpg?as=1&w=250>

The Onion (Science & Technology) 2002

National Science Foundation: Science Hard

<https://www.theonion.com/national-science-foundation-science-hard-1819566466>

1977 August 15, Newsweek, Volume 90, Seeking Other Worlds (Profile of Carl Sagan), Start Page 46, Quote Page 53, Newsweek, Inc., New York. (Verified on microfilm)

<https://quoteinvestigator.com/2013/03/18/incredible/>

1898 April, The Ladies' Home Journal, The Anecdotal Side of Edison, Subsection: His Estimate of Genius, Start Page 7, Quote Page 8, Column 2, Curtis Publishing Company, Philadelphia.

(ProQuest American Periodicals)

<https://quoteinvestigator.com/2012/12/14/genius-ratio/#note-5018-3>



Additional resources

Columbia [ReaDI](#) Program

<https://research.columbia.edu/ReaDI-Program>

- [Good Laboratory Notebook Practices](#)
- [Best Practices for Data Management when Using Instrumentation](#)
- [Reproducibility Resources and Guidelines by Topic](#)
- [Resources by Discipline: Neuroscience](#)

Penelope AI

<https://www.penelope.ai/>

OSF (Open Science Framework)

<https://osf.io/>

“TOP” [Transparency and Openness Promotion] Guidelines

https://osf.io/ud578/?_ga=1.211230620.829898984.1435325845

International Society for Pharmaceutical Engineering: GMP Resources

<https://ispe.org/initiatives/regulatory-resources/gmp>

Rigor and Reproducibility in NIH Applications: Resource Chart

<https://grants.nih.gov/grants/RigorandReproducibilityChart508.pdf>

Rigor and Reproducibility in NIH: Training

<https://www.nih.gov/research-training/rigor-reproducibility/training>

NIH Research Integrity and RCR

https://grants.nih.gov/policy/research_integrity/index.htm

